

Introductory sub-table (I)(a)			
Name of the Company	SAINIK FINANCE & INDUSTRIES LIMITED		
Scrip Code, Name of Scrip, Class of security	530265, SAINIK, Equity Shares		
Quarter ended	30-09-2012		
Partly paid up shares:	No. of Partly paid up shares	As a % of total Number of partly paid up shares	As a % of total Number of shares of the Company.
Held by Promoter/ Promoter Group	NIL	N.A.	N.A.
Held by Public	NIL	N.A.	N.A.
Total	NIL	N.A.	N.A.
Outstanding Convertible Securities :	Number of outstanding securities	As a % of total Number of outstanding Convertible Securities	As a % of total Number of shares of the Company assuming full conversion of the Convertible Securities.
Held by Promoter/ Promoter Group	NIL	N.A.	N.A.
Held by Public	NIL	N.A.	N.A.
Total	NIL	N.A.	N.A.
Warrant:	Number of warrants	As a % of total Number of warrants	As a % of total Number of shares of the Company assuming full conversion of warants.
Held by Promoter/ Promoter Group	NIL	N.A.	N.A.
Held by Public	NIL	N.A.	N.A.
Total	NIL	N.A.	N.A.
Total Paid- up capital of the company assuming full conversion of warrants and convertible securities in Rupees	108,800,000		

Statement Showing Shareholding Pattern - Sainik Finance & Industries Limited

Table (I) (a)

Category code	Category of Shareholder	Number of Shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered*	
					As a percentage of(A+B)	As a percentage of (A+B+C)	Number of shares	As a percentage
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	IX=(VIII)/(IV)*100
(A)	Shareholding of Promoter and Promoter Group							
1	Indian							
(a)	Individuals/ Hindu Undivided Family	34	5,605,345	5,601,145	51.52	51.52	Nil	N.A.
(b)	Central Government/ State Government(s)	-	-	-	-	-	Nil	N.A.
(c)	Bodies Corporate	-	-	-	-	-	Nil	N.A.
(d)	Financial Institutions/ Banks	-	-	-	-	-	Nil	N.A.
(e)	Any Others(Specify)	-	-	-	-	-	Nil	N.A.
	Sub Total(A)(1)	34	5,605,345	5,601,145	51.52	51.52	Nil	N.A.
2	Foreign							
a	Individuals (Non-Residents Individuals/ Foreign Individuals)	-	-	-	-	-	Nil	N.A.
b	Bodies Corporate	-	-	-	-	-	Nil	N.A.
c	Institutions	-	-	-	-	-	Nil	N.A.
d	Qualified Foreign Investor	-	-	-	-	-	Nil	N.A.
e	Any Others(Specify)	-	-	-	-	-	Nil	N.A.
	Sub Total(A)(2)	-	-	-	-	-	Nil	N.A.
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	34	5,605,345	5,601,145	51.52	51.52	Nil	N.A.

Category code	Category of Shareholder	Number of Shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered*	
					As a percentage of(A+B)	As a percentage of (A+B+C)	Number of shares	As a percentage
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	IX=(VIII)/(IV)*100
(B)	Public shareholding							
B 1	Institutions							
(a)	Mutual Funds/ UTI	-	-	-	-	-	Nil	N.A.
(b)	Financial Institutions / Banks	-	-	-	-	-	Nil	N.A.
(c)	Central Government/ State Government(s)	-	-	-	-	-	Nil	N.A.
(d)	Venture Capital Funds	-	-	-	-	-	Nil	N.A.
(e)	Insurance Companies	-	-	-	-	-	Nil	N.A.
(f)	Foreign Institutional Investors	-	-	-	-	-	Nil	N.A.
(g)	Foreign Venture Capital Investors	-	-	-	-	-	Nil	N.A.
(h)	Qualified Foreign Investor	-	-	-	-	-	Nil	N.A.
(i)	Any Other (specify)	-	-	-	-	-	Nil	N.A.
	Sub-Total (B)(1)	-	-	-	-	-	Nil	N.A.
B 2	Non-institutions							
(a)	Bodies Corporate	52	3,503,778	1,648,726	32.20	32.20	Nil	N.A.
(b)	Individuals							N.A.
I	i. Individuals- Individual shareholders holding nominal share capital up to Rs 1 lakh	3,379	1,203,906	262,059	11.07	11.07	Nil	N.A.
II	ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	26	530,515	177,149	4.88	4.88	Nil	N.A.
(c)	Qualified Foreign Investor	-	-	-	-	-	Nil	N.A.
(d)	Any Other (specify)							N.A.
(d-i)	Non-Resident Indian	47	35,913	2,596	0.33	0.33	Nil	N.A.
(d-ii)	Clearing Member	2	110	110	-	-	Nil	N.A.

Category code	Category of Shareholder	Number of Shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered*	
					As a percentage of(A+B)	As a percentage of (A+B+C)	Number of shares	As a percentage
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	IX=(VIII)/(IV)*100
(d-iii)	Clearing House	2	433	433	0.004	0.004	Nil	N.A.
	Sub-Total (B)(2)	3,508	5,274,655	2,091,073	48.48	48.48	Nil	N.A.
(B)	Total Public Shareholding (B)= (B)(1)+(B)(2)	3,508	5,274,655	2,091,073	48.48	48.48	Nil	N.A.
	TOTAL (A)+(B)	3,542	10,880,000	7,692,218	100.00	100.00	Nil	N.A.
(C)	Shares held by Custodians and against which Depository Receipts have been issued:	-	-	-	-	-		N.A.
	Promoter and Promoter Group	-	-	-	-	-	Nil	N.A.
	Public	-	-	-	-	-	Nil	N.A.
	GRAND TOTAL (A)+(B)+(C)	3,542	10,880,000	7,692,218	100.00	100.00	Nil	N.A.
* Information of Pledged/encumbered shares are in respect of Promoter/ Promoter Group only.								

Statement showing Shareholding of securities (including shares, warrants, convertible securities) of persons belonging to the category to Promoters and Promoter Group

(I)(b)

Sr. No.	Name of the shareholder	Details of shares held		Encumbered shares (*)			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of shares	As a % of grand total (A)+(B)+(C)	No.	As a percentage	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class	
(I)	(II)	(III)	(IV)	(V)	(VI)= (V)/(III) *100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
1	COL. GIRDHARI SINGH	988,900	9.09	-	-	-	-	-	-	-	-
2	KULDEEP SINGH SOLANKI	522,765	4.80	-	-	-	-	-	-	-	-
3	YUVRAJ SINGH SOLANKI	432,833	3.98	-	-	-	-	-	-	-	-
4	INDU SOLANKI	337,833	3.11	-	-	-	-	-	-	-	-
5	RUDRA SEN SINDHU	342,484	3.15	-	-	-	-	-	-	-	-
6	SARVESH SINDHU	248,866	2.29	-	-	-	-	-	-	-	-
7	VIR SEN SINDHU(HUF)	247,066	2.27	-	-	-	-	-	-	-	-
8	VRITPAL SINDHU	206,200	1.90	-	-	-	-	-	-	-	-
9	SUMATI SINDHU	194,333	1.79	-	-	-	-	-	-	-	-
10	KULDEEP SINGH SOLANKI (HUF)	172,800	1.59	-	-	-	-	-	-	-	-
11	ABHIMANYU SINDHU	175,166	1.61	-	-	-	-	-	-	-	-
12	SURABHI SINDHU	149,933	1.38	-	-	-	-	-	-	-	-
13	PARMESHWARI DEVI	456,532	4.20	-	-	-	-	-	-	-	-
14	MANISHA SOLANKI	117,800	1.08	-	-	-	-	-	-	-	-
15	COL. GIRDHARI SINGH (HUF)	109,400	1.01	-	-	-	-	-	-	-	-
16	VIR SEN SINDHU	102,198	0.94	-	-	-	-	-	-	-	-
17	SHWETA SINDHU	96,565	0.89	-	-	-	-	-	-	-	-
18	RUDRA SEN SINDHU (HUF)	89,600	0.82	-	-	-	-	-	-	-	-
19	SAROJ SINDHU	87,033	0.80	-	-	-	-	-	-	-	-
20	RAJSHREE RATHORE	64,000	0.59	-	-	-	-	-	-	-	-
21	ASHA RATHORE	75,200	0.69	-	-	-	-	-	-	-	-
22	SHAHISTA SINDHU	58,600	0.54	-	-	-	-	-	-	-	-
23	SOMVIR SINDHU	52,000	0.48	-	-	-	-	-	-	-	-
24	RACHNA SINDHU	51,900	0.48	-	-	-	-	-	-	-	-
25	SATYA PAL SINDHU	45,000	0.41	-	-	-	-	-	-	-	-
26	SAURABH SINDHU	41,666	0.38	-	-	-	-	-	-	-	-

Sr. No.	Name of the shareholder	Details of shares held		Encumbered shares (*)			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of shares	As a % of grand total (A)+(B)+(C)	No.	As a percentage	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class	
(I)	(II)	(III)	(IV)	(V)	(VI)= (V)/(III) *100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
27	USHA SINDHU	52,675	0.48	-	-	-	-	-	-	-	-
28	DEV SUMAN SINDHU	40,000	0.37	-	-	-	-	-	-	-	-
29	SHASHI SINDHU	18,466	0.17	-	-	-	-	-	-	-	-
30	VRIT PAL SINDHU(HUF)	13,166	0.12	-	-	-	-	-	-	-	-
31	EKTA SINDHU	5,000	0.05	-	-	-	-	-	-	-	-
32	ANIKA SINDHU	500	0.00	-	-	-	-	-	-	-	-
33	RAJBIR SINGH	4,665	0.04	-	-	-	-	-	-	-	-
34	NIRANJAN SINGH	4,200	0.04	-	-	-	-	-	-	-	-
Total		5,605,345	51.52	-	-	-	-	-	-	-	-
	* Information of Pledged/encumbered shares are in respect of Promoter/ Promoter Group only.										

(I)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category “Public” and holding more than 1% of the total number of shares								
Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % of total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	SAINIK MINING AND ALLIED SERVICES LIMITED	853,666	7.85	Nil	-	-	Nil	Nil
2	SINDHU TRADE LINKS LIMITED	1,167,066	10.73	Nil	-	-	Nil	Nil
3	MANEESHA FINLEASE LIMITED	1,046,159	9.62	Nil	-	-	Nil	Nil
5	SINDHU FARMS PRIVATE LIMITED	111,666	1.03	Nil	-	-	Nil	Nil
	Total	3,178,557	29.21	Nil	-	-	Nil	Nil

(I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category “Public” and holding more than 5% of the total number of shares of the company								
Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	SAINIK MINING AND ALLIED SERVICES LIMITED	853,666	7.85	Nil	-	-	Nil	Nil
2	SINDHU TRADE LINKS LIMITED	1,167,066	10.73	Nil	-	-	Nil	Nil
3	MANEESHA FINLEASE LIMITED	1,046,159	9.62	Nil	-	-	Nil	Nil
	Total	3,066,891	28.19	Nil	-	-	Nil	Nil

(I)(d) <u>Statement showing details of locked-in shares</u>			
Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	Nil	-	-
2	Nil	-	-
3	Nil	-	-
4	Nil	-	-
5	Nil	-	-
6	Nil	-	-
7	Nil	-	-
8	Nil	-	-
9	Nil	-	-
TOTAL		-	-

(II)(a) <u>Statement showing details of Depository Receipts (DRs)</u>				
Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	Nil	-	-	-
2	Nil	-	-	-
3	Nil	-	-	-
4	Nil	-	-	-
5	Nil	-	-	-
6	Nil	-	-	-
7	Nil	-	-	-
8	Nil	-	-	-
9	Nil	-	-	-
TOTAL		-	-	-

(II)(b) Statement showing Holding of Depository Receipts (DRs), where underlying shares held by promoters/ promoters group are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	Nil	-	-	-
2	Nil	-	-	-
3	Nil	-	-	-
4	Nil	-	-	-
5	Nil	-	-	-
6	Nil	-	-	-
7	Nil	-	-	-
8	Nil	-	-	-
9	Nil	-	-	-
TOTAL		-	-	-